

US Norms for Six Generic Health-Related Quality-of-Life Indexes From the National Health Measurement Study

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Background: A number of indexes measuring self-reported generic health-related quality-of-life (HRQoL) using preference-weighted scoring are used widely in population surveys and clinical studies in the United States.

Objective: To obtain age-by-gender norms for older adults on 6 generic HRQoL indexes in a cross-sectional US population survey and compare age-related trends in HRQoL.

Methods: The EuroQol EQ-5D, Health Utilities Index Mark 2, Health Utilities Index Mark 3, SF-36v2™ (used to compute SF-6D), Quality of Well-being Scale self-administered form, and Health and Activities Limitations index were administered via telephone interview to each respondent in a national survey sample of 3844 noninstitutionalized adults age 35–89. Persons age 65–89 and telephone exchanges with high percentages of African Americans were oversampled. Age-by-gender means were computed using sampling and poststratification weights to adjust results to the US adult population.

Results: The 6 indexes exhibit similar patterns of age-related HRQoL by gender; however, means differ significantly across indexes. Females report slightly lower HRQoL than do males across all age groups. HRQoL seems somewhat higher for persons age

65–74 compared with people in the next younger age decade, as measured by all indexes.

Conclusions: Six HRQoL measures show similar but not identical trends in population norms for older US adults. Results reported here provide reference values for 6 self-reported HRQoL indexes.

Key Words: health-related quality-of-life, health status, EQ-5D, SF-6D, QWB-SA, SF-6D, Health Utilities Index, HUI2, HUI3, SF-36, population survey, adults, aging, patient-reported outcomes, health outcomes measures, comparative studies

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The past 35 years has seen significant progress in methodologies for health assessment using self-reported, preference-based summary measures of health-related quality-of-life (HRQoL). Advances include a growing number of available indexes and the use of generic health outcome measures in clinical and cost-effectiveness studies creating a legacy of published data.^{1–5} More recently, interpretation of the measures has been improved by their inclusion in large health surveys thereby creating population norms against which to evaluate results obtained in clinical studies. These surveys also provide points for population tracking and comparison.

Generic HRQoL indexes score health using standardized weighting representing community preferences for health states on a scale anchored by 0 (dead) and 1 (full health). Representing community preferences is important for cost-effectiveness analysis (CEA) in health and medicine.⁶ There are currently 6 such indexes used in the United States: the EuroQol EQ-5D (EQ-5D)⁷; the Health Utilities Index Mark 2 (HUI2) and Mark 3 (HUI3)^{8,9}; the SF-6D^{10,11}; the Quality of Well-Being Scale Self-Administered form (QWB-SA)¹²; and the Health and Activities Limitations index (HALex).^{13,14} Scores from these indexes purport to represent the same evaluation of a given level of health, so we could expect them to be similar if administered in the same population. The indexes, however, emphasize overlapping but different health concepts, operationalize these concepts differently, refer to different time frames, and use scoring algorithms derived with different elicitation methods from different populations.

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Indeed, within the few surveys which have collected more than one of these health indexes, distributions of summary scores differ by index and by scoring system used. Comparing distributions across the few published studies presenting US population normative data is also limited by differences in sample, mode of administration, and year of the survey, but again differences are seen across indexes.^{15–18} Luo et al,¹⁵ report gender-age-specific US means for EQ-5D, HUI2, and HUI3 assessed during a household survey of US adults from which the US scoring system for EQ-5D was derived (US valuation of the EQ-5D, “USVEQ”); they show lower HRQoL in older cohorts for both genders, with females reporting slightly lower HRQoL than males. Hanmer et al,¹⁶ used Medical Expenditure Panel Survey (MEPS) data to estimate gender-age-specific means for EQ-5D and SF-6D (the latter computed from SF-12 data), and reported results similar to Luo et al with USVEQ data. The 2 analyses report means which differ from each other, but with overlapping confidence intervals for EQ-5D means in comparable strata. In USVEQ data, means for the 3 indexes differed when compared in the same strata, with EQ-5D and HUI2 both significantly higher than HUI3, and EQ-5D slightly higher than HUI2.¹⁵ Hanmer et al,¹⁸ suggest that mode of administration (ie, face-to-face interview, telephone, or self-administered) may lead to differences in means. Noyes et al,¹⁹ show that relatively small differences in HRQoL scores associated with different scoring systems (UK vs. US) for the EQ-5D can lead to different conclusions in CEA of medical interventions. Thus, it is important that we know better where differences between indexes exist and the relative magnitudes of differences.

The purpose of the National Health Measurement Study (NHMS) was to add to this growing base of knowledge about the different indexes in 2 ways. First, we add QWB-SA and HALex and SF-6D (based on SF-36) to the list of indexes for which US noninstitutionalized adult norms are available. Second, we administer all 6 indexes using the same mode to the same individuals in a population-based survey, minimizing effects of mode of administration and sample when interpreting differences.

Background and Terminology

These indexes are used around the globe to measure and summarize the health of populations. The EQ-5D,⁷ is very widely used and now has a US scoring system²⁰ and US population-based data.^{15,21} The HUI with its 2 distinct summary scores, HUI2 and HUI3, is used in many clinical trials^{8,9}; population-based norms are available in Canada and the United States from several different population-based surveys.^{15,22} The oldest of preference-based measures is the QWB which was originally proposed for health policy analysis²³ and which now has a self-administered form, QWB-SA.¹² Though less used than the EQ-5D or HUI2/3, the QWB-SA is unique among this class of indexes because it makes use of an extensive set of self-reported symptoms to describe health. The SF-36, perhaps the most widely used generic health status measure in the world, was developed for the Medical Outcomes Study²⁴; version 2.0, the SF-36v2™, was used in this study.²⁵ A preference-scored summary index, the SF-6D,

has been developed specifically to use the SF-36v2™ questionnaire.¹⁰ The HALex was constructed ad hoc to proxy as a preference-based summary index of health, retrospectively using data from the US National Health Interview Survey and the US Behavioral Risk Factor Surveillance System to evaluate US health goals under Healthy People 2000 and Healthy People 2010.^{13,14} The HALex has also been used to evaluate population health in Japan.²⁶

Each measure involves a generic multidimensional health state classification, or descriptive system, using multiple health domains to classify health broadly (ie, these are formulated in generic, not disease- or organ-specific, terms), and a standardized weighting (or “scoring”) system derived from a community preference valuation of health states. The health state classification system is most commonly a set of health domains, or “attributes” or “dimensions,” (such as pain) which have predefined levels (eg, “none,” “moderate,” “severe”). Levels range from fully healthy state to a very unhealthy state in each domain. The measures are self-reported; a person’s answers to a standardized questionnaire are used in a prescribed manner to specify the level of each domain in the index’s descriptive system with which to associate the respondent. We will refer to each specific combination of questionnaire, health state classification system, and standardized scoring system as an “index” or “measure” synonymously, and numbers assigned to individuals as “scores.”

METHODS

We used a random digit dialed (RDD) telephone interview of a sample of adults age 35–89 years, designed to represent the older half of the US population in 2005–2006 (2005 median age was 36.4 years, <http://factfinder.census.gov>) from the continental United States. The upper age cutoff was selected because the noninstitutionalized portion of the population over age 89 is sufficiently small (~1% of population), and the prevalence of dementia sufficiently high, that means other than telephone interview would likely be needed to assess HRQoL adequately in this segment of the population. RDD surveys use random telephone numbers from noncellular exchanges to sample households. By design, to allow greater power for analyses of 2 important subgroups, we oversampled black Americans and persons age 65–89 using standard survey sampling methods. Blacks were oversampled by approximately a factor of 2 by increasing the probability of calling in geographically scattered phone exchanges with known higher proportions of black households. Older individuals were oversampled by approximately a factor of 3 as described below. Because all sampling was done using predetermined probabilities, accurate sample weights for each respondent could be computed to adjust estimates of means to the US population age 35–89 using standard survey statistical methods.

HRQoL Indexes

EQ-5D

Permission to administer EQ-5D was given without charge by the EuroQoL Group (<http://www.euroqol.org>). The EQ-5D questions refer to “your health today.” The

EQ-5D descriptive system uses 5 domains (mobility, self-care, usual activities, pain/discomfort, and anxiety/depression), each with 3 response options (no problems, moderate problems, severe problems), defining a total of 243 unique health states.²⁷ For this study, we applied the scoring algorithm derived for the US general population. This scoring algorithm was derived from time tradeoff assessments of EQ-5D health states made by a population sample of some 4000 US adults in face-to-face household interviews.²⁰

HUI2, HUI3

License to use the proprietary HUI2/3 English-language questionnaire and mapping algorithm with a 1-week recall period was purchased from Health Utilities, Inc. (<http://www.healthutilities.com/>). A condition of the license is that users not reveal the content of the questions or the mapping algorithm. Respondents are asked to consider “your level of ability or disability during the past week.” Scoring algorithms for both HUI2 and HUI3 were derived from standard gamble assessments made by adults in community samples in Hamilton, Ontario, and use multiplicative multiattribute utility functions. The algorithms map data from the same 40-item interviewer-administered questionnaire to each of the HUI2 and HUI3 classification systems. The HUI2 defines health status on 6 attributes (sensation, mobility, emotion, cognition, self-care, and pain—we excluded an optional fertility dimension as is usual in the literature). Each attribute is divided into 4 or 5 levels, resulting in 8000 unique health states.⁹ The HUI3 defines health on 8 attributes (vision, hearing, speech, ambulation, dexterity, emotion, cognition, and pain), each having 5 or 6 levels, and jointly describing 972,000 unique health states.⁸

Both HUI2 and HUI3 scoring functions have health states scored less than 0 (dead). HUI2 scores range from -0.03 to 1.0 ; HUI3 scores range from -0.36 to 1.0 .

QWB-SA

Permission to use the QWB-SA was obtained free of charge from the University of California, San Diego, Health Services Research Center, La Jolla, CA (<http://www.medicine.ucsd.edu/fpm/hoap/>). Usually the QWB-SA is self-administered using a 2-sided optical scan form. We adapted the QWB-SA for this study to be administered by computer-assisted telephone interview. The QWB-SA assesses health over the past 3 days. The QWB-SA combines 3 domains of functioning (mobility, physical activity, social activity) with a lengthy list of symptoms and health problems, each assigned a weight, using an algorithm that yields a single summary score^{12,28} based on presence or absence of activities and symptoms on each of the past 3 days. The final QWB-SA score is the average of the 3 single-day scores. The original QWB algorithm was developed using visual analog scale (VAS) ratings of health state descriptions by a community sample of adults located in the San Diego, CA, area. The QWB-SA algorithm is conceptually similar to that of the original QWB, but was

derived from ratings by a convenience sample of people in family medicine clinics around San Diego; VAS scales were used to rate domain levels and some case descriptions formed from special combinations of domains in a multiattribute utility elicitation process. Excluding dead (0.00), the minimum possible QWB-SA score is 0.09 and the maximum is 1.0.

SF-6D

License to administer the SF-36v2TM was purchased from its vendor (<http://www.sf-36.org/>). SF-36v2TM refers to several time frames. One question asks for self-rated health “in general.” Some questions ask how much one’s health “now limits” doing certain activities. Other questions refer to the “past 4 weeks.” The SF-6D is computed from a subset of 11 of the 36 questions in the proprietary questionnaire. Although SF-36v2TM yields a health profile summary using 8 domains, the SF-6D has reduced this to 6 domains (physical function, role limitation, social function, pain, mental health, and vitality), each comprised of 5–6 levels, and jointly defining about 18,000 health states.¹⁰ Scoring was derived from standard gamble assessments by a population sample from the United Kingdom. The SF-6D scoring algorithm is distributed by the SF-36v2TM vendor. We separately coded a SAS algorithm and verified its output scores with both the developer and vendor, leading to clarification and minor update to the algorithm distributed by the vendor (Brazier J, Bjorner J, personal communication, 2007). The scoring algorithm produces scores ranging from 0.30 to 1.0.

HALex

No permission is needed to use the HALex. The HALex is the only summary index available for the US National Health Interview Survey, and it is used to track years of healthy life in Healthy People 2000 and 2010.¹³ HALex questions refer to “your health in general.” It consists of 2 domains, 6 levels of activity limitation (ranging from “no limitations” to “unable to perform activities of daily living”), and 5 levels of self-reported health (“excellent,” “very good,” “good,” “poor,” “fair”), jointly defining 30 health states. This is the only 1 of the 6 indexes to use self-rated health to describe health states. For the self-rated health domain we used question 1 from the SF-36v2TM. For the activity domain we used the questions from Appendix 1 of Erickson,¹³ adapted for computer-assisted telephone administration.

The scoring algorithm was developed ad hoc without actual preference survey data using correspondence analysis to the HUI2.¹³ The worst of the 30 health states is scored 0.10 and the best scored 1.0.

Survey Administration

Trained interviewers at the University of Wisconsin Survey Center conducted the interviews from June 2005 through August 2006 using computer assisted telephone interview (CATI) software. For the 40% of households where street addresses were available from reverse directories, advance letters were sent explaining the purpose of the study and including \$2 cash as preincentive to increase survey participation. When a household was reached by telephone,

TABLE 1. Sample Characteristics Unweighted, Weighted, and Compared With US Census 2000

Item	Sample			US Census 2000*
	N	Unweighted (%)	Weighted (%)	
Gender				(ages 35–89)
Male	1641	42.7	47.1	47.4
Female	2203	57.3	52.9	52.6
Age, yr				
35–44	642	16.7	31.6	32.1
45–54	826	21.5	23.8	26.8
55–64	684	17.8	19.9	17.3
65–74	965	25.1	14.2	13.1
75–89	727	18.9	10.6	10.8
Race				(ages 35–89)
White	2562	66.7	81.2	80.9
Black	1086	28.3	10.3	10.5
Other races	178	4.6	7.6	8.6
Missing	18	0.5	0.7	
Household income				(householder ages 35+)
<\$20,000	781	20.3	10.4	21.5
\$20,000–\$34,999	699	18.2	14.6	18.3
\$35,000–\$74,999	1176	30.6	34.2	35.3
\$75,000+	861	22.4	34.9	24.9
Missing	327	8.5	5.8	
Education (highest level)				(ages 25+)
<High school	464	12.1	8.2	19.6
High school	1159	30.2	28.2	28.6
Some post-high school	856	22.3	22.1	27.3
4-yr college degree or higher	1341	34.9	40.9	24.4
Missing	24	0.6	0.6	
Census region				(ages 35–89)
Northeast	578	15.0	16.8	20.1
Midwest	1016	26.4	29.3	23.2
South	1884	49.0	35.0	35.8
West (excludes AK, HI)	366	9.5	18.9	20.9
Total	3844	100.0	100.0	100.0

*Census distributions from US Census 2000; age range varies for different items as indicated. For household income, the respondent was not necessarily the householder making it difficult to match ages. Percentages may not total 100.0 because of rounding.

the interviewer conducted a brief screening interview eliciting an enumeration of adults in the household and their ages. CATI software assigned persons to 3 age ranges, 35–44, 45–64, and 65–89, and sampled among populated age ranges with preset probabilities favoring the oldest age group. If there were more than 1 adult in the household in the selected age group, the eligible respondent was selected using the Troidahl-Carter-Bryant technique.²⁹ The selected respondent was contacted and asked to participate in the telephone interview. Respondents were provided explanations of the purposes and content of the study, guaranteed anonymity, and offered a \$25 incentive for completion of the entire survey.

Respondents were told that a number of health measures were being tested and that some questions would sound redundant. The first question of the interview was the categorical self-rating of health question asking respondents to rate their overall health as excellent, very good, good, fair, or

poor. After this the EQ-5D, HUI, SF-36v2™, and QWB-SA questionnaires were administered in an order randomized across respondents by the CATI software. After these 4 questionnaires, the HALex questions were administered. Later sections of the interview elicited a wide range of other information including sociodemographic and financial variables. When a respondent could not complete the interview in one session (n = 734) a call-back was arranged and the interview picked up where it left off. Interviewers encouraged respondents not to break within a questionnaire for an index, and call-backs were generally within a few days.

Analyses

Regression analyses were completed using the SURVEYREG and SURVEYMEANS procedures of SAS version 9.0 software (The SAS Institute, Cary, NC). Sampling weights were computed as the inverse sampling probability

for each participant based on the sampling scheme and then poststratified to the US Census 2000 population by age, (35–44, 45–64, 65–89) gender (male, female), and race (black, white, other). Combined survey and poststratification weights were trimmed within age-decade-, gender-, race-subgroups so that no one observation constituted more than 5% of its subgroup survey weight. These trimmed final weights were applied to adjust results from the sample distribution, where blacks and older people were oversampled, to the US adult population age 35–89.

RESULTS

Sample and Response Rate

We sampled 29,844 telephone numbers deemed potentially in scope (ie, working, residential, nonfax/data lines, etc.). Although telephone numbers were called a minimum of 10 times before being abandoned as unreachable, 15,450 (54%) of these could not be verified as in scope because of noncontact (eg, phone never answered, immediate hang-ups, or phone problems). Of 14,394 identified households contacted, 2738 informants broke off the call before initial screening questions to determine household/respondent eligibility could be completed. Screening was completed in 11,656 households and 6822 were determined to have at least 1 eligible respondent and 1 person from each of these households was invited to participate. Of those invited, 4334 agreed to begin the interview and 3853 completed. Nine of these were found retrospectively to be ineligible. The final sample was $N = 3844$ respondents.

There are different approaches to calculate response rates depending on how many households with potentially

eligible respondents are assumed to be in the unscreened telephone numbers.³⁰ We used 2 recommended methods to compute response rates. The simple estimate is the ratio of completed interviews (3844) to identified eligible households, (6822) 56.3%. However, we may assume there were unidentified eligible respondents in the 2738 households for which a screening interview was not completed. A second estimate takes the proportion eligible among screened households (6822/11,656 = 0.585) to estimate the proportion eligible among identified households not screened. Thus, 1602 eligible respondents may have resided in the 2738 unscreened households. Under this assumption the response rate is 45.6% [3844/(6822 + 1602)]. The advance letter with \$2 preincentive increased response rates by 5% compared with households not getting these (data not shown).

Table 1 shows demographic characteristics of the raw sample, the survey-weighted sample, and US Census 2000 for comparison. We applied poststratification weights based on gender, age, and race; the remaining characteristics show our sample to be somewhat higher income and better educated than the population in general (both the opposite of the USVEQ sample bias¹⁵). For results below, “weighted” analyses adjust the result to the US population using the survey weights; “unweighted” analyses do not use the survey and poststratification weights, and thus describe the raw sample.

Average administration times, percentage of cases for which scores could not be computed because of missing data, and distributional characteristics of the indexes in the unweighted sample data are in Table 2. Though this was a sample of noninstitutionalized adults, essentially the full range of each index’s values was observed. EQ-5D had

TABLE 2. Characteristics of the 6 Indexes

Item	EQ-5D	HUI2*	HUI3	QWB-SA	SF-6D	HALex
Mean time to administer by telephone in minutes† (5 percentile, 95 percentile)	1.9 min (1.4, 4.5)	3.4 min (2.1, 5.6)		11.1 min (7.7, 17.5)	7.9 min (5.3, 12.3)	1.0 min (0.5, 1.8)
No. missing (%)	32 (0.8)	277 (7.2)	286 (7.0)	86 (2.2)	105 (2.7)	3 (0.1)
Minimum value given not dead‡ (theoretical)	-0.11	-0.02*	-0.36	0.093	0.30	0.10
Minimum observed value (n)	-0.11 (n = 1)	-0.01 (n = 2)	-0.34 (n = 2)	0.09 (n = 1)	0.30 (n = 12)	0.10 (n = 54)
Lower quartile	0.800	0.770	0.670	0.540	0.667	0.630
Median	0.827	0.880	0.880	0.635	0.791	0.840
Upper Quartile	1.0	0.950	0.970	0.990	0.887	0.920
No. scoring 1.0 (%)	1393 (36.2)	416 (10.8)	439 (11.4)	0 (0.0)	166 (4.3)	480 (12.5)
Weighted correlations§						
HUI2	0.71	—	—	—	—	—
HUI3	0.68	0.89	—	—	—	—
QWB-SA	0.64	0.66	0.66	—	—	—
SF-6D	0.70	0.71	0.69	0.65	—	—
HALex	0.64	0.65	0.65	0.60	0.65	—

Results other than correlations in this table are not survey sample weighted.

*HUI2 computed without the optional fertility domain, minimum is -0.02.

†HUI2 and HUI3 are scored from the same set of questions; the SF-6D time is for the entire SF-36 and not just the 11 SF-6D questions; the HALex time excludes the self-rated health question from SF-36.

‡This was calculated by inserting answers for each question on the questionnaires which minimize the summary score. For all indexes, the health state “dead” is scored 0.0.

§All correlations significant, $P < 0.001$.

substantial ceiling effect (36% scoring the highest value), QWB-SA and SF-6D had no or minimal ceiling effect, and the remaining indexes showed modest ceiling effects. Weighted Pearson correlations among pairs of indexes vary from 0.60 to 0.71, except the 0.89 correlation between the HUI2 and HUI3, which use the same underlying questionnaire (all correlations $P < 0.001$).

Table 3 presents our main result: estimated population means by gender and age for each of the 6 HRQoL indexes. These estimates were computed using weighted regressions within each age-by-gender stratum and the standard errors presented also reflect the population weighting. In weighted analyses of variance within each age-by-gender stratum there

is significantly more variation among index means than would be expected if the indexes scored health the same (all P values for the effect of index <0.001). The EQ-5D means were the highest, along with HUI2 which were slightly lower in each stratum. HUI3, SF-6D, and HALex formed a midrange cluster of means and the QWB-SA means were always lowest, differing from the other means by 0.1 or more. All indexes show the same general relationship with age. All indexes, except HALex computed for females, show a leveling or increase in HRQoL in the 65- to 74-year-old group compared with the 55- to 64-year-old group; this “bump” in the indexes in aggregate is statistically significant ($P = 0.04$). Females reported slightly lower HRQoL across all age groups

TABLE 3. Mean HRQoL Index Scores of US Adults Ages 35-89 by Gender and Age

Index (Age Range)	Male		Female		Total	
	Mean	(SE)	Mean	(SE)	Mean	(SE)
EQ-5D						
35-44	0.89	(0.01)	0.89	(0.01)	0.89	(0.01)
45-54	0.88	(0.01)	0.87	(0.01)	0.87	(0.01)
55-64	0.86	(0.01)	0.84	(0.01)	0.85	(0.01)
65-74	0.87	(0.01)	0.84	(0.01)	0.86	(0.01)
75-89	0.85	(0.01)	0.82	(0.01)	0.84	(0.01)
HUI2						
35-44	0.88	(0.01)	0.86	(0.01)	0.87	(0.01)
45-54	0.86	(0.01)	0.84	(0.01)	0.85	(0.01)
55-64	0.83	(0.01)	0.81	(0.02)	0.82	(0.01)
65-74	0.86	(0.01)	0.83	(0.01)	0.85	(0.01)
75-89	0.84	(0.01)	0.82	(0.01)	0.83	(0.01)
HUI3						
35-44	0.84	(0.02)	0.81	(0.02)	0.83	(0.01)
45-54	0.83	(0.01)	0.82	(0.01)	0.83	(0.01)
55-64	0.78	(0.02)	0.77	(0.02)	0.77	(0.02)
65-74	0.82	(0.02)	0.79	(0.02)	0.80	(0.01)
75-89	0.76	(0.02)	0.74	(0.02)	0.75	(0.01)
SF-6D						
35-44	0.81	(0.01)	0.80	(0.01)	0.80	(0.01)
45-54	0.81	(0.01)	0.79	(0.01)	0.80	(0.01)
55-64	0.79	(0.01)	0.76	(0.01)	0.78	(0.01)
65-74	0.80	(0.01)	0.77	(0.01)	0.78	(0.01)
75-89	0.77	(0.01)	0.75	(0.01)	0.76	(0.01)
QWB-SA						
35-44	0.69	(0.01)	0.66	(0.01)	0.67	(0.01)
45-54	0.68	(0.01)	0.65	(0.01)	0.66	(0.01)
55-64	0.65	(0.01)	0.61	(0.02)	0.63	(0.01)
65-74	0.65	(0.01)	0.63	(0.01)	0.64	(0.01)
75-89	0.60	(0.01)	0.60	(0.01)	0.60	(0.01)
HALex						
35-44	0.85	(0.01)	0.84	(0.01)	0.85	(0.01)
45-54	0.81	(0.01)	0.81	(0.01)	0.81	(0.01)
55-64	0.76	(0.02)	0.74	(0.03)	0.75	(0.02)
65-74	0.78	(0.01)	0.73	(0.02)	0.76	(0.01)
75-89	0.75	(0.02)	0.71	(0.02)	0.73	(0.01)

This table was computed first by stratifying cases into gender by age-range strata and then, within each stratum, using survey sample-weighted regression of index scores on ages centered at 40, 50, 60, 70, or 80 yr as appropriate to the stratum. The intercept term in each regression then estimates the stratum mean index score for the US population in that stratum at the time of the survey. The standard error of the regression intercept indicates precision of the estimate accounting for survey weights.

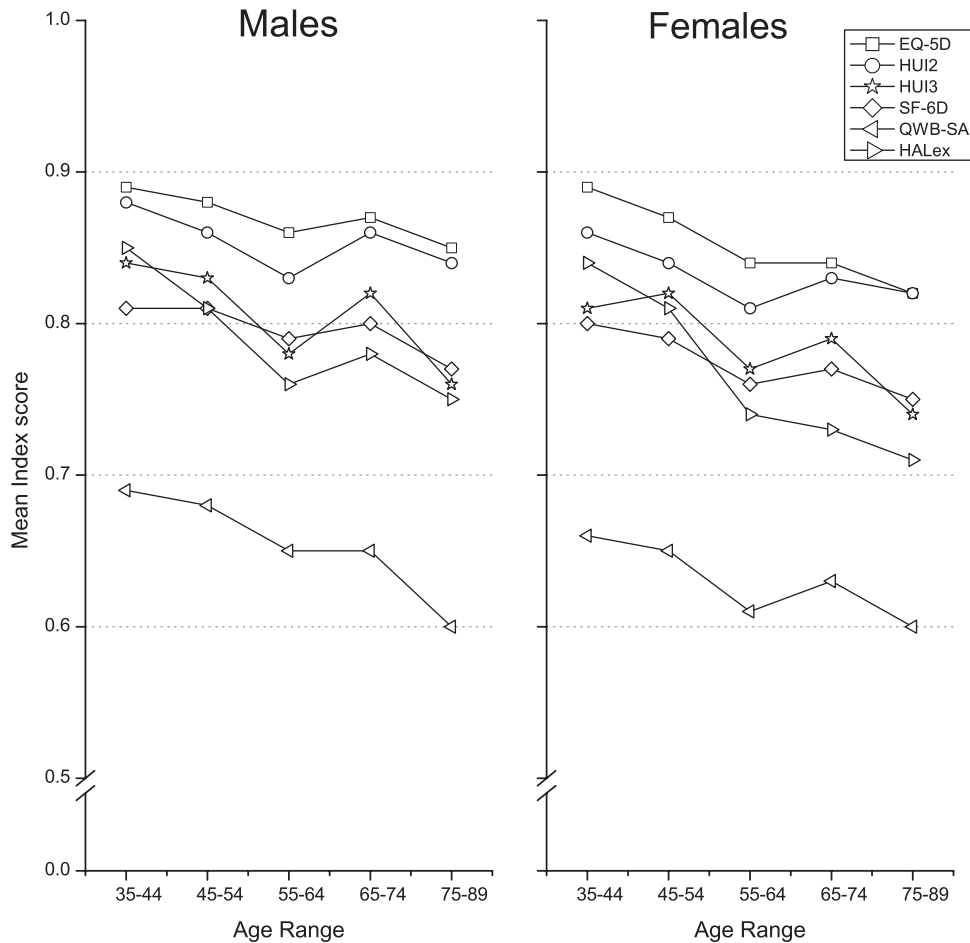


FIGURE 1. Means estimating noninstitutionalized US population health-related quality-of-life (HRQoL) by age are shown for males and females using 6 standardized indexes: EQ-5D, HUI2, HUI3, QWB-SA, SF-6D, and HALex. Cases were stratified by age range and gender and means computed within each stratum using survey-weighted regression of index scores on age centered to ages 40, 50, 60, 70, and 80 years as appropriate to the stratum.

than did men. Although the associations between age and index scores seem similar across indexes, the weighted age trend-by-index interaction is significant ($P < 0.05$) in these data, indicating the measures somewhat differently exhibit the age trend. Of the 6 indexes, HALex tends to exhibit the largest difference in mean score between youngest and oldest groups. Figure 1 shows the means in Table 3 as graphs to emphasize the similarity of trends in results using the different indexes; confidence intervals are suppressed for visual clarity but can be derived from the standard errors in Table 3.

DISCUSSION

Generic, self-reported, preference-based HRQoL instruments provide a picture of health complementary to the health indicators and mortality rates which are often used to summarize aspects of population health. The NHMS administered 6 widely used measures in a national telephone survey of older US adults. Although all the indexes are nominally scored with anchors of 1 for full health and 0 for dead, there is evident difference among the indexes in mean scores

observed for age-by-gender groups. Reasons for these differences may include varying degrees of ceiling effect in the noninstitutionalized population and varying sensitivity to different aspects of health. The QWB-SA produced by far the lowest scores. Perhaps this is due to this index’s valuation method as it is the only one of those administered which is based on a VAS estimation of utilities, the scores for which tend to be lower than time tradeoff or standard gamble scores; or it may be due to the formulation of this index in terms of symptoms, a distinctly different approach from the other descriptive systems.

Our means for EQ-5D are similar to those reported previously for younger ages in 2 data sets, USVEQ and MEPS^{15,16}; however, in the decade around age 60 the means observed in our study begin to diverge, seeming significantly higher than those in the other data sets, averaging 0.02–0.07 higher in males, and 0.03–0.12 higher in females, these differences increasing with age. Our means for HUI3 are very similar at all ages to another US population telephone survey, the Joint Canada US Survey of Health,¹⁸ but diverge from the

HUI3 estimates in the USVEQ household survey.¹⁵ Our results are similar to all previous surveys, in finding slightly lower HRQoL for females than males regardless of index or survey mode.¹⁸

The differences we observed present a quandary to those who rely on exact numerical values of these indexes for CEA, and at a minimum imply that scores from different indexes should never be mixed in 1 CEA. Despite these absolute numerical differences, it is striking how similar the general pattern of results is across instruments in Table 3/Figure 1, raising the possibility that they all tell the same general story in population tracking despite their structural and etiologic differences.

There seems to be either a slight “dip” in HRQoL at ages 55–64 or a slight “bump” up in HRQoL in the 65–74 age group, compared with a linear, downward trend associated with age group. Are people in the older cohort really healthier than in the younger group? People age 55–64 during our survey were born in 1941–1950; those age 65–74 were born in 1931–1940. A recent National Bureau of Economic Standards report³¹ used an ad hoc index and US Health and Retirement Study data to analyze self-reported health by persons in birth cohorts from 1936 to 1941, 1942 to 1947, and 1948 to 1953 at age 51–56. That report concluded those in the youngest cohort (“baby boomers”) self-reported worse health at age 51–56 than did those in the oldest cohort 10–12 years earlier when they were the same age. It is difficult to assess whether this finding reflects a cohort-associated reporting bias or is a true reflection of worse health. Our cross-sectional survey data compares HRQoL from approximately these birth cohorts, but collected concurrently, and seem to support a present-day difference between them.

Most surveys, and telephone surveys in particular, are limited and NHMS is no exception. Perhaps telephone surveys tend to have higher response rates from more educated, wealthier people (as seen comparing our weighted sample to the US Census 2000 data in Table 1), and face-to-face household surveys may have the opposite bias (Table 1 in Ref. 15), resulting in our “seeing” healthier older people compared with face-to-face surveys. Increasing use of cell phones, excluded in household RDD sampling, apparently has little effect on population health estimates in the time our survey was completed (especially among the age groups we sampled).³² However, nonresponse bias, apparent in the differences between our sample distributions of education and household income, may have affected our results.

Despite limitations, our results contribute important added observations to previously reported population means in the United States by better informing users of self-reported HRQoL indexes in population surveys and in clinical investigations and by providing critical comparative data by gender and age, and a better sense of variability of results among carefully conducted surveys.

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